# **EPEAT Program**Continuous Monitoring Outcomes Report



Imaging Equipment IE-2021-01 March 24, 2022

## 1.0 Background

EPEAT® is a comprehensive voluntary sustainability Type 1 ecolabel that helps purchasers identify sustainable technology products and services. Central to EPEAT are conformity assurance activities that meet the technical rigor and credibility needs of the institutional purchasers who rely upon EPEAT. The EPEAT Program ensures the ongoing conformance of EPEAT-registered products through an ongoing surveillance process known as Continuous Monitoring. Continuous Monitoring activities occur throughout the year and test the ability of Participating Manufacturers to prove conformance with EPEAT Criteria on an ongoing basis.

Some Continuous Monitoring activities require that Investigations be conducted in discrete timeframes called Rounds. The EPEAT Program develops an individual plan for each Continuous Monitoring Round, which specifies the EPEAT Criteria to be investigated, the method of investigation that GEC-approved Conformity Assurance Bodies (CABs) must use and the specific dates when the Investigation activities must be completed. The EPEAT Program also selects the Participating Manufacturers and EPEAT-registered products and assigns Investigations to CABs, which must fully participate in and are responsible for implementing Continuous Monitoring Round activities with their Participating Manufacturer clients. Participating Manufacturers are required to cooperate fully with their GEC-approved CAB during Round activities.

To maintain the level of transparency relied on by purchasers, the EPEAT Program publishes an Outcomes Report at the conclusion of each Round to summarize the activities conducted and to identify the products and Participating Manufacturers that received major nonconformances and the actions taken to restore accuracy of the EPEAT Registry.

This document summarizes the activities and results of Continuous Monitoring Round IE-2021-01 conducted for the Imaging Equipment category.

# 2.0 Overview of Continuous Monitoring Round IE-2021-01

#### 2.1 Investigation Activities

As per the published Round Plan, Continuous Monitoring Round IE-2021-01 used Level 2 Investigations (laboratory evaluation of products to determine the products' conformance with specific EPEAT Criteria). GEC-approved CABs obtained the products, as identified by the EPEAT Program, from the open market without involvement of the Participating Manufacturers, where possible, and sent them for laboratory evaluation. The laboratories evaluated the products against the specified Criteria and produced reports summarizing the activities conducted and the results. GEC-approved CABs reviewed the reports, made recommendations on conformity, and sent the reports to the EPEAT Program. The EPEAT Program made the final decisions on conformity for the Investigations.

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#### 2.2 Criteria Investigated

Continuous Monitoring Round IE-2021-01 focused on chemicals of concern. Products were selected randomly using a random number generator from a list of Participating Manufacturers. In this Round, laboratories examined the Required Criteria for all products and the Optional Criterion for those products that had selected the Criterion.

Table 1: Criteria Investigated in Round IE-2021-01					
Criteria Number	Criterion Title				
4.1.1.1	Compliance with provisions of European RoHS Directive upon its effective date				
4.1.2.1	Further reduction of the use of European Union RoHS Directive hazardous substances (cadmium)				
4.1.5.1	Compliance with provisions of European Union Battery Directive				
4.1.6.1	Reducing BFR/CFR/PVC content of external plastic casings				
4.8.1.1	Elimination of intentionally added heavy metals in packaging				

### 3.0 Summary of Investigations and Final Decisions on Conformity for IE-2021-01

Highlights from this Continuous Monitoring Round are:

- 10 investigations completed
- **9** decisions of Conformance
- 1 decision of Inconclusive

#### 4.0 Further Details on Nonconformances for IE-2021-01

All nonconformances must be categorized as either major or minor. Minor nonconformances are non-critical or clerical in nature and do not materially affect the validity of conformance with EPEAT Criteria. All nonconformances that do not meet the definition of minor are categorized as major.

There were no nonconformances of any kind identified in Continuous Monitoring Round IE-2021-01.

#### 5.0 Actions to Restore Conformance

Where the final conformity decision is nonconformance (whether major or minor), Participating Manufacturers must make corrections to restore the accuracy of the EPEAT Registry during the Corrective Action Phase. These activities may include providing additional evidence to demonstrate conformance with the criterion or unselecting the criteria in the EPEAT Registry. Where the product was found nonconformant and is no longer available in the marketplace, the product must be archived.

During the Corrective Action Phase, Participating Manufacturers must also develop Corrective Action Plans for other EPEAT-registered products that may be affected by the same underlying issue causing the nonconformance but were not the subject of investigation (called "similarly affected products").

Since no nonconformances were identified in this Round, no corrective actions were taken.

Document Control and Change History								
Issue	Revision	Owner	Approver	Description	Approval Date	Effective Date		
1	0	EPEAT Conformity	Director, EPEAT	Initial release				
		Assurance Manager	Program					
1	1	EPEAT Conformity	Director, EPEAT		2018 Dec 11	2018 Dec 11		
		Assurance Manager	Program					
2	0	Senior Manager,	Senior Director,	Reformatting of document. Addition of	2021 Mar 25	2021 Mar 30		
		Ecolabels and	Ecolabels and	standardized text.				
		Resources	Manufacturer					
			Resources					